



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/438,365	11/12/1999	Yongliang Chu	61-03	9217
23713	90 11/26/2004		EXAMINER	
	WINNER AND SULL	EPPS FORD, JANET L		
4875 PEARL EAST CIRCLE SUITE 200 BOULDER, CO 80301			ART UNIT	PAPER NUMBER
			1635	

DATE MAILED: 11/26/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

,	Application No.	Applicant(s)				
	09/438,365	CHU ET AL.				
Office Action Summary	Examiner	Art Unit				
·	Janet L. Epps-Ford, Ph.D.	1635				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1) Responsive to communication(s) filed on <u>24 Au</u>	<u>ugust 2004</u> .					
2a) ☐ This action is FINAL . 2b) ☐ This	•					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4) Claim(s) See Continuation Sheet is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) <u>10,16-19,22-28,30-41,43,44,46,47,49-62,64-69,71-76,78-92,111 and 112</u> is/are allowed.						
6)⊠ Claim(s) <u>12</u> is/are rejected.						
7)⊠ Claim(s) <u>13-14</u> is/are objected to.						
8) Claim(s) are subject to restriction and/or election requirement.						
Application Papers						
9) ☐ The specification is objected to by the Examiner.						
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
A44 - h.u u.44 -)						
Attachment(s) Attachment(s) Attachment(s) Attachment(s) Attachment(s) Attachment(s) Attachment(s)						
1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date						
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	5) ☐ Notice of Informal P 6) ☐ Other:	atent Application (PTO-152)				

Continuation of Disposition of Claims: Claims pending in the application are 10,12-14,16-19,22-28,30-41,43,44,46,47,49-62,64-69,71-76,78-92,111 and 112.

Application/Control Number: 09/438,365

Art Unit: 1635

DETAILED ACTION

Response to Amendment

Claim Rejections - 35 USC § 112

- 1. The following is a quotation of the first paragraph of 35 U.S.C. 112:
 - The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
- 2. Claim 12 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. (New Matter).
- 3. Applicants have amended the instant claim to delete the term "about" in this claim, such that the instant claim now recites the range from "8 to about 24 carbon atoms." However, the specification as originally filed and the claims as originally filed to not provide sufficient antecedent basis for this amendment. Applicant's response filed 8-24-04, on page 38, provides support for the other amendments to claim 12 in the specification as filed. However, there is no reference to the specification as filed for Applicant's removal of the term "about" from the "However, when filing an amendment an applicant should show support in the original disclosure for new or amended claims." See MPEP § 714.02 and § 2163.06. ("Applicant should * * * specifically point out the support for any amendments made to the disclosure."). Additionally, see MPEP § 608.04(b), which states "No new matter may be included after the filing date of the application."

Application/Control Number: 09/438,365 Page 3

Art Unit: 1635

Conclusion

4. Claims 13-14 would be allowable if rewritten to overcome the rejection(s) under 35 U.S.C. 112, 2nd paragraph, set forth in this Office action and to include all of the limitations of the base claim and any intervening claims.

- 5. Claims 10, 16-19, 22-28, 30-41, 43, 44, 46, 47, 49-62, 64-69, 71-76, 78-92, and 111-112 are allowable over the prior art.
- 6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Application/Control Number: 09/438,365

Art Unit: 1635

Page 4

7. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Janet L. Epps-Ford, Ph.D. whose telephone number is 571-272-

0757. The examiner can normally be reached on Monday-Saturday, Flex Schedule.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, John L. LeGuyader can be reached on 571-272-0760. The fax phone number for the

organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Janet L. Epps-Ford, Ph.D.

Patent Examiner

Art Unit 1635

JLE

Page 5

Application/Control Number: 09/438,365

Art Unit: 1635